



Serial No. 09/653295

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

I hereby certify that this  
correspondence is being deposited with the  
United States Postal Service as first class  
mail in an envelope addressed to:  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231 on  
12-18-00  
Mary Carter  
Printed name of person mailing paper or  
fee  
Mary V. Carter  
Signature of person mailing paper or fee

**Patent Application**

Inventor(s): **Allen Yen**  
**Frank Yauchee Hui**  
**Yifeng Winston Yan**

Case: **3-6-16**

Serial No.: **09/653295**

Filing Date: **August 31, 2000**

Examiner: **Group Art Unit: 2811**

Title: **Stacked Structure For Parallel Capacitors And Method Of  
Fabrication**

**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D. C. 20231**

**SIR:**

**Response to Notice to File Missing Parts of Application**

Enclosed is the Declaration and Power of Attorney relating to the above-identified application.

Please charge Lucent Technologies Deposit Account No. 12-2325 the amount of **\$130.00** to cover the filing fee surcharge. Duplicate copies of this letter are enclosed.

A copy of PTO-1533, Notice to File Missing Parts of Application, is also enclosed.

Respectfully,  
Allen Yen  
Frank Yauchee Hui  
Yifeng Winston Yan

By Ferdinand M. Romano  
Ferdinand M. Romano, Attorney  
Reg. No. 32752  
407-371-3250

Date: 12/13/00

Atts.

Declaration and Power of Attorney PTO-1533

Sector 11



UNITED STATES PATENT AND TRADEMARK OFFICE  
DEC 26 2000

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/653,295	08/31/2000	Allen Yen	3-6-16

## FORMALITIES LETTER

Docket Administrator (Room 3C-512)  
Lucent Technologies Inc  
600 Mountain Avenue P O Box 636  
Murray Hill, NJ 07974-0636



\*OC000000005479401\*

Date Mailed: 10/17/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

A. Legesse  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/28/2000 CVERACHA 0000035 122325 09653295

01 FC:105 130.00 CH